

1c923 U.S. PTO
09/12/00

09-13-00

EL590678468US

A


Please type a plus sign (+) inside this box → ☒ +

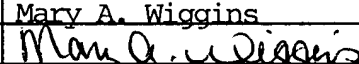
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|----------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|--------------------------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small> | Attorney Docket No. | PA1631 |
| | First Inventor or Application Identifier | David Goerz, Jr. |
| | Title | Method and Apparatus for Business to . . . |
| | Express Mail Label No. | EL590678468US |

| | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small> | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix) |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages <input]<br="" type="text" value="55"/> (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the invention- Brief Summary of the invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure | 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input]<="" td="" type="text" value="44"/> <td rowspan="4">ACCOMPANYING APPLICATION PARTS 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input checked="" type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)<ul style="list-style-type: none">* Small Entity14. <input type="checkbox"/> Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other:</td> | ACCOMPANYING APPLICATION PARTS 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input checked="" type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) <ul style="list-style-type: none">* Small Entity 14. <input type="checkbox"/> Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other: |
| 4. Oath or Declaration [Total Pages <input]<ul="" type="text" value="44"/> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]<ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | |
| 5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | |
| 17. If a CONTINUING APPLICATION , check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____ Prior application information: Examiner _____ Group I Art Unit: _____ | |

| | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|-----------|--------------|----------|--------------|
| 18. CORRESPONDENCE ADDRESS | | | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label  or <input checked="" type="checkbox"/> Correspondence address below (Insert Customer Number or Bar code label here) | | | | | |
| Name | 22830 | | | | |
| PATENT, TRADEMARK OFFICE | | | | | |
| Address | CARR & FERRELL, LLP | | | | |
| 2225 East Bayshore Road, Suite 200 | | | | | |
| City | Palo Alto | State | California | Zip Code | 94303 |
| Country | USA | Telephone | 650-812-3400 | Fax | 650-812-3444 |

| | | | |
|-------------------|-------------------------------------------------------------------------------------|-----------------------------------|---------|
| Name (Print/Type) | Mary A. Wiggins | Registration No. (Attorney/Agent) | 45480 |
| Signature |  | Date | 9/12/00 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

U.S. PTO
09/12/00
09/660312

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.**TOTAL AMOUNT OF PAYMENT** (\$) 423**Complete If Known**

| | |
|----------------------|--------------------|
| Application Number | N/A |
| Filing Date | September 12, 2000 |
| First Named Inventor | David Goerz, Jr. |
| Examiner Name | N/A |
| Group / Art Unit | N/A |
| Attorney Docket No. | PA 1631 |

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

6-0600

Deposit
Account
Name

Carr & Ferrell, LLP

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR §§ 1.18 and 1.17

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------|---------------|---------------|---------------|------------------------|----------|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | | |
| 101 | 690 | 201 | 345 | Utility filing fee | \$ 345 |
| 106 | 310 | 206 | 155 | Design filing fee | \$ 0 |
| 107 | 480 | 207 | 240 | Plant filing fee | \$ 0 |
| 108 | 690 | 208 | 345 | Reissue filing fee | \$ 0 |
| 114 | 150 | 214 | 75 | Provisional filing fee | \$ 0 |

SUBTOTAL (1) (\$) 345**2. EXTRA CLAIM FEES**

| Total Claims | | Extra Claims | | Fee from below | | Fee Paid | |
|--------------------|-------|--------------|---|----------------|--|----------|--|
| 18 | -20** | 0 | X | \$ 0 | | \$ 0 | |
| 5 | -3** | 2 | X | \$ 39 | | \$ 78 | |
| Multiple Dependent | | | | \$ 0 | | \$ 0 | |

**or number previously paid, if greater; For Reissues, see below

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------|---------------|---------------|---------------|------------------------------------------------------------|----------|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 78 | 202 | 39 | Independent claims in excess of 3 | |
| 104 | 260 | 204 | 130 | Multiple dependent claim, if not paid | |
| 109 | 78 | 209 | 39 | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$) 78**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------------|---------------|---------------|---------------|----------------------------------------------------------------------------|----------|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | \$ 0 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | \$ 0 |
| 139 | 130 | 139 | 130 | Non-English specification | \$ 0 |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | \$ 0 |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | \$ 0 |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | \$ 0 |
| 115 | 110 | 215 | 55 | Extension for reply within first month | \$ 0 |
| 116 | 380 | 216 | 190 | Extension for reply within second month | \$ 0 |
| 117 | 870 | 217 | 435 | Extension for reply within third month | \$ 0 |
| 118 | 1,380 | 218 | 680 | Extension for reply within fourth month | \$ 0 |
| 128 | 1,850 | 228 | 925 | Extension for reply within fifth month | \$ 0 |
| 119 | 300 | 219 | 150 | Notice of Appeal | \$ 0 |
| 120 | 300 | 220 | 150 | Filing a brief in support of an appeal | \$ 0 |
| 121 | 280 | 221 | 130 | Request for oral hearing | \$ 0 |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | \$ 0 |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | \$ 0 |
| 141 | 1,210 | 241 | 605 | Petition to revive - unintentional | \$ 0 |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | \$ 0 |
| 143 | 430 | 243 | 215 | Design issue fee | \$ 0 |
| 144 | 580 | 244 | 290 | Plant issue fee | \$ 0 |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | \$ 0 |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | \$ 0 |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | \$ 0 |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | \$ 0 |
| 146 | 690 | 246 | 345 | Filing a submission after final rejection (37 CFR § 1.129(a)) | \$ 0 |
| 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR § 1.129(b)) | \$ 0 |
| Other fee (specify) _____ | | | | | \$ 0 |
| Other fee (specify) _____ | | | | | \$ 0 |

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0**SUBMITTED BY**

Name (Print/Type) Mary Wiggins

Registration No.
(Attorney/Agent)

45,480

Complete (if applicable)

Telephone (650) 812-3400

Signature

Mary Wiggins

Date

9/12/00

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

09660312-091200



2161

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

Technology Center 2100

NOV 22 2000

RECEIVED

APPLICANTS: David J. Goerz, Jr. et al.
SERIAL NO.: 09/660,312
FILED: September 12, 2000
TITLE: Method and Apparatus for Business to Business
Project Development with Indexed Knowledge Base
EXAMINER: unknown
GROUP ART UNIT: unknown
ATTY.DKT.NO.: PA1631US

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date printed below:

Dated: 11/10/00

By: Mary A. Wiggins
Mary A Wiggins